Serious Mental Illness (SMI)
CRITERIA CHECKLIST

SMI determination is based on the age of the individual, functional impairment, duration of the disorder and the diagnoses. Adults must meet all of the following five criteria:

1. **Age**: Must be an adult 18 years of age or older.

2. **Diagnoses**: Have one of the following diagnoses that has been determined within the prior 12 months by a licensed psychiatrist, licensed psychologist, LISW, LMFT, or LPCC under the classification system in the American Psychiatric Association *Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR) specified from the list below.

3. **Functional Impairment**: Have a Global Assessment of Functioning of 50 or below identified on Axis V that has been assessed within the prior 12 months by a licensed psychiatrist, licensed psychologist, LISW, LMFT, or LPCC under the classification system in the American Psychiatric Association *Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR)
   —or—
   Have a diagnosis of Diagnosis of Schizophrenia (all 295.XX codes) that does not require a GAF determination.

4. **Functional Domain**: Have a functional limitation identified on Axis IV that has been assessed within the prior 12 months by a licensed psychiatrist, licensed psychologist, LISW, LMFT, or LPCC under the classification system in the American Psychiatric Association *Diagnostic and Statistical Manual of Mental Disorders* (DSM-IV-TR).

5. **Duration**: Expected duration of the disorder is to be six months or longer.

**Schizophrenia — All 295.XX diagnoses**
- Schizophrenia 295.xx (all 295.xx diagnoses)

**Other Psychotic Disorders — Requires a GAF of 50 or below to qualify as SMI**
- Delusional Disorder 297.1
- Delusional Disorder Paranoid State 297.0
- Shared Psychotic Disorder 297.3
- Unspecified Psychosis 298.9

*continued on next page*
Major Depression and Bipolar Disorder — Requires a GAF of 50 or below to qualify as SMI

- Major Depression 296.xx (all except Mood Order NOS 296.90)
- Bi-Polar Disorders 296.xx (all except Bi-Polar NOS 296.80)

Other Mood Disorders — Requires a GAF of 50 or below to qualify as SMI

- Cyclothymic Disorder 301.13

Anxiety Disorders — Requires a GAF of 50 or below to qualify as SMI

- Panic Disorder w/out Agoraphobia 300.01
- Generalized Anxiety Disorder 300.02
- Phobic Disorder 300.2
- Panic Disorder w/Agoraphobia 300.21
- Social Phobia 300.23
- Obsessive-Compulsive Disorder 300.3
- Posttraumatic Stress Disorder 309.81

Eating Disorders — Requires a GAF of 50 or below to qualify as SMI

- Anorexia Nervosa 307.1
- Bulimia Nervosa 307.51

Somatoform Disorders — Requires a GAF of 50 or below to qualify as SMI

- Conversion Disorder 300.11

Dissociative Disorders — Requires a GAF of 50 or below to qualify as SMI

- Dissociative Amnesia 300.12
- Dissociative Fugue 300.13
- Dissociative Identity Disorder 300.14

Factitious Disorders — Requires a GAF of 50 or below to qualify as SMI

- Factitious Disorder with Psychological Signs and Symptoms 300.16