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**Purpose of this White Paper**
The purpose of this white paper is to present findings and recommendations about the next phase of implementation of New Mexico’s behavioral health system. This paper presents information gathered from approximately 50 behavioral health experts who gathered in July and August of 2011 for three one-day meetings to discuss and define the evolution and future of behavioral health services and systems in New Mexico.

The information presented in this paper is to be used as a guide for State government leaders, policy makers, consumers, advocates, providers and others working together to ensure better and more integrated behavioral health services for all New Mexicans.
The New Mexico Behavioral Health Collaborative convened a **Behavioral Health Expert Panel** to make recommendations related to the future of behavioral health services in New Mexico. This Expert Panel consisted of approximately 50 consumers, family members, adult and youth providers, advocates and state personnel, and met for three one-day meetings in July and August, 2011. The Expert Panel was asked to provide input to the State and stakeholders as New Mexico enters into a Medicaid modernization process and prepares to re-negotiate all Human Services Department-administered contracts for behavioral and physical health services. This Panel offered the following recommendations regarding structure, funding, governance, guiding principles and other aspects to improve the behavioral health system and ensure better integration of behavioral health and physical health services:

**Structure**
The critical need to increase integration of behavioral health with primary care was a strong and overarching recommendation. At the same time, there was not consensus regarding whether behavioral health should remain carved out, become carved in, or developed into a hybrid model. However:

- There was consensus that improvement in specific behavioral health outcomes for consumers and families is more critical than the specific model selected (carve in, carve out or a hybrid model)
- There is an interest in local/regional governance and administrative structures within any new model
- There was some thought that the next entity/entities that manage the behavioral health system should be a non-profit(s) and possibly a New Mexico agency(ies)

**Funding**
- There is a need to protect behavioral health funding
- Funding for behavioral health services must be tracked and administered separately
- A greater percent of behavioral health dollars should be spent on services and a smaller percent on administration

**Governance**
• There must be more consumer, family, and provider involvement in policy development and decision making related to behavioral health
• There must be transparency and accountability throughout the system to improve quality of care, with access to, and state ownership of, behavioral health data
• There must be continued real support for local and regional governance, involvement and decision making
• Governance must be “transparent” with the ability to make significant decisions and provide clearly understood rationales
• Mission, roles, expectations, and relationships for all components of the governance structure (Collaborative, local entities, Planning Council, etc.) must be clearly defined and delineated

**Guiding Principles**

• There must be more focus on children and youth and better integration with all systems that serve them (the school, juvenile justice, tribal and foster care systems)
• There must be an expanded focus on prevention, early detection and early intervention for the full range of behavioral health conditions in both primary care and behavioral health settings
• The system must take into account the diversity of the state in terms of geography, race/ethnicity, and culture and be flexible enough to respond to this diversity
• There must be an increased focus on strengthening peer and family support services
• The behavioral health system must maintain a focus on recovery and resiliency
• The behavioral health system must maintain a focus on wellness, prevention, and stigma reduction

**Other Components**

• There must be a thoughtful plan for any transition that takes into consideration the potential impacts of any changes and the appropriate timing to ensure a smooth and successful process for consumers and providers
• There must be better oversight of any entity(ies) that is(are) administering behavioral health
• There must be very detailed contracts with clear expectations and increased readiness reviews for any entity(ies) administering behavioral and/or physical health services
• There must be tighter contracting with any entity(ies) that is (are) overseeing behavioral health services
• Dollars saved through efficiencies must go back into the behavioral health system to build innovative services for consumers
• There should be an examination of the current payment system to determine if a transition from fee-for-service to a capitated or per-member-per-month or other payment system would lead to better services and outcomes
• Billing and paperwork must be simplified and reduced
• Integration between behavioral and physical health must also focus on links with the educational system and schools; the Tribes and Tribal systems; and corrections, the criminal and juvenile justice system and programs such as Jail Diversion
• There must be an expanded focus on developing the state’s behavioral health workforce, including recruiting, retaining and training behavioral and physical health professionals throughout New Mexico, especially in frontier and rural regions

Introduction
For at least ten years there has been recognition of the need to improve and expand behavioral health services in New Mexico. In response, New Mexico has reconfigured behavioral health services and systems in an effort to improve these services. With a changing federal landscape for healthcare, a new state administration, and the end of the current behavioral health contract on the horizon, the time has clearly come to assess the state’s behavioral health system and determine what changes should be made to both improve services and assure better integration between behavioral health and physical health.

New Mexico is currently poised to assess and refine State-administered health-related contracts for Medicaid and managed care services and systems to align with Medicaid modernization that is currently underway. All significant New Mexico Human Services Department-administered contracts for the provision of health services are due to be re-bid or re-defined in the next 12 to 24 months. During the 2012/2013 fiscal years, these contracts will be re-bid. A Request for Proposals to identify a “Statewide Entity” or entities (a Managed Care Organization(s) to administer and provide all state-linked behavioral health services) is slated to be released during this same time period with a planned start up in July, 2013.

Additionally, the State has contracted with Alicia Smith and Associates to gather stakeholder input related to “Medicaid modernization” and, with that input, assist the State in the development and submission of an application to the Centers for Medicare and Medicaid Services (CMS) for an 1115 Waiver. Application for this Waiver will be made in late 2012 or early 2013 with changes to the Medicaid program taking effect July 1, 2013. Furthermore, the Patient Protection and Affordable Care Act (PPACA, federal health care reform) is scheduled to go into effect in 2014, which, if fully enacted, will increase the number of people on the Medicaid roles in New Mexico by several hundred thousand. Finally, the requirement for

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1 See the 2010 Strategic Plan, *Positioning Behavioral Health for Health Care Reform: A Framework for Action FY11 – FY14* at: http://www.bhc.state.nm.us/pdf/Final%20Strategic%20Plan%209Dec2010.pdf. See also the Gaps Analysis at: https://docs.google.com/#folders/folder.0.0B5huoreWJxoOTNkOTIyZDQtMmM1Yi00NDEyLWIwM2MtZGE4YTBiODV1NjE2. See the New Mexico Behavioral Health Collaborative website at: http://www.bhc.state.nm.us/.
2 Ibid.
3 The nature and content of these re-bids and RFPs will depend, in part, on what is determined through this process.
mental health parity approved through the federal Parity Act will dramatically increase the number of New Mexicans with behavioral health coverage, leading to a much larger number of citizens potentially requesting behavioral health service.

The Process

Through the leadership of the New Mexico Behavioral Health Collaborative, a process was developed and implemented to assess the current system and make recommendations for the future of behavioral health in New Mexico, taking into account the increasing number of people potentially accessing the system, the federal focus on integrating behavioral health and primary care, and the ongoing reality of limited funds to provide behavioral health services. A Behavioral Health Task Force, consisting of behavioral health experts and state personnel, was initially convened by Linda Roebuck Homer, Collaborative CEO, to recommend a process for re-examination of this system. (Please see Appendix A for a list of Task Force members and their affiliations.)

At the first and subsequent meetings of the Task Force, a number of key decisions were made, including the development of a set of core commitments and preliminary guiding questions. A process and timeline for gathering input from relevant and representative stakeholders was outlined. It was agreed that there would be transparency throughout the process. And it was determined that all input would be analyzed and then articulated in a white paper that would serve as public input for the State and related stakeholders in the evolution of New Mexico’s behavioral health system.

The Task Force initially affirmed the following core principles and commitments for the future system:

- Protecting and strengthening behavioral health
- Integrating behavioral and physical healthcare for the whole person
- Shaping our future behavioral health system using what we have learned from the past and our vision for the future
- Maintaining focus on recovery and resiliency
• Focusing on individual outcomes and wellness

Related to these principals and commitments were the following preliminary guiding questions:\footnote{These questions were refined as the process unfolded.}:

• How do we accomplish integrated care and ensure a strong behavioral health system?
• How will behavioral health fit within Medicaid modernization?
• What is unique to New Mexico that we must address in any structure, contract and RFP?
• What are the strengths and weaknesses of the current SE structure and contract? How should it be changed?
• What are the strengths and weaknesses of the current Behavioral Health Purchasing Collaborative model and operation? How should the Collaborative be changed?
• Should behavioral health continue to be carved out?
• Should behavioral health be carved in?
• If carved in, should there be special conditions specific to behavioral health?

At the Task Force’s recommendation, it was determined to convene a \textbf{Behavioral Health Expert Panel} consisting of behavioral health experts from across the state, representing both the range of constituents and the demographics of the state, to participate in three one-day meetings in July and August, 2011. The participants would include consumer and family members, behavioral health providers serving youth and adults, and advocates. State representatives from the Behavioral Health Collaborative, the Human Services Department, the Department of Health, the Corrections Department, and the Children, Youth and Families Department would be on site to assist. It was also determined that local and national experts would be brought in to provide information on various New Mexico behavioral health models, models in other states, and an overview of the Patient Protection and Affordable Care Act and implications for behavioral health in New Mexico. In addition, information would be provided on the Medicaid Modernization process concurrently taking place in the state and how the Modernization process and behavioral health restructuring processes would synch and support one another.

It was also determined that the State would contract with the Consortium for Behavioral Health Training and Research\footnote{CBHTR}, based out of the University of New Mexico Health Sciences...
Center Department of Psychiatry’s Center for Rural and Community Behavioral Health (CRCBH), to coordinate and facilitate this process. The Task Force would continue to meet regularly throughout the process to provide support, problem solve, and coordinate activities.

Each Expert Panel member was selected for her/his behavioral health expertise. Expert Panel members were representative of the population of the state, and included consumers and family members, advocates, and youth and adult providers. Attention was paid to ensure that Expert Panel members represented the racial/ethnic and geographic diversity of the state. Lastly, it was an expressed expectation of all Expert Panel members that they would act as liaisons and provide information to and solicit input from the constituency group(s) that they each represented.

At these meetings, the Expert Panel members were divided into four working groups that met together throughout the process. (Please see Appendix B for a list of Expert Panel members, their affiliations and the constituency group(s) they represent.)

Meetings took place July 7, July 29, and August 18, 2011.

**The First Meeting**

The first meeting, on July 7th, was designed to provide an overview of the process and information that could be used by Expert Panel members in subsequent meetings as they worked to answer the questions above and make recommendations for the future direction of behavioral health in New Mexico. As such, the following presentations by local and national experts were provided:

- **Overview of the Process and What We are Trying to Accomplish**

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7 The agenda for the first meeting can be viewed at: [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huooreW1xoZjiUwNTgwNzQtZGV1Yy00ZjI5LTck2MGQtNTEwYTc2NWRjODFl&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huooreW1xoZjiUwNTgwNzQtZGV1Yy00ZjI5LTck2MGQtNTEwYTc2NWRjODFl&hl=en_US).
8 Notes from the first meeting can be viewed at: [http://www.cbhtr.org/bhept](http://www.cbhtr.org/bhept).
Additionally, a significant number of relevant articles, briefs and papers were made available to Expert Panel members, both in hard copy and through a website developed specifically for this process at: www.cbhtr.org/bhept. With this information as a backdrop, Expert Panel members were asked to return to their communities and constituent groups and seek feedback on the questions provided. This information and responses to questions would then be brought back to the second meeting.

For a complete list and links to these documents, visit: http://www.cbhtr.org/bhept.
The Second Meeting

The Second Meeting was held on July 29th. This meeting was structured to provide Expert Panel members almost an entire day to answer the following questions related to how New Mexico accomplishes integrated care and ensures a strong behavioral health system in the future:

1. Should behavioral health remain carved out, become carved in, or should a hybrid model be developed?
2. How should funding for behavioral health services be administered and/or tracked?
3. What governance structure(s) should be in place, given your answers to questions 1 and 2 above?

After a brief welcome and introduction, Expert Panel members were divided into four groups by color – yellow, green, blue and red- and provided a break out room. Each group included consumers, family members, providers and advocates. CBHTR staff facilitated group discussions and served as scribes to capture notes. State agency “experts” rotated through the groups to answer questions. At the end of the day, all Expert Panel members came together to report out and discuss their findings, which served to guide the development of the next set of questions for the process.

At the end of the second meeting, it was proposed that the Behavioral Health Expert Panel convene on August 18th to:

16 The agenda for the second meeting can be viewed at: https://docs.google.com/viewer?a=v&pid=explorer&srcid=0B5huooreWJx0NTQxMjIzMzgtZmUzZS00ZQzLWFJNDctN2ZIYyU1OTMyY2M0&hl=en_US
17 Notes from the second meeting can be viewed at:
Green Group notes at: https://docs.google.com/viewer?a=v&pid=explorer&srcid=0B5huooreWJx0OTYwMDZIYjT0FhMy00YTI2LTk3NGEtZGQ2MzAzNTc0MGJh&hl=en_US
Red Group notes at: https://docs.google.com/viewer?a=v&pid=explorer&srcid=0B5huooreWJx0Y2Y3YWVmNjMtNjM0OC00MjYtLTIzZjI0ZmZmZC00MWUxLWElOWEzLTQ1MTQzMTMxOTg4MjQy&hl=en_US
Blue Group notes at: https://docs.google.com/viewer?a=v&pid=explorer&srcid=0B5huooreWJx0MWQ4YTczMzU5MjM5MTY2LWU4NzJiY2FhMjZjMzIyMzY1Y2ZlZjEzYjk&hl=en_US
Yellow Group notes at: https://docs.google.com/viewer?a=v&pid=explorer&srcid=0B5huooreWJx0NTA1ZWE1MDQyODQ1LWQzMDM2N2E2ZGRhZjkzZGFjZDQ0ZjIyM2U2ZWJmZmQx&hl=en_US
Notes from the ending group discussion at: https://docs.google.com/viewer?a=v&pid=explorer&srcid=0B5huooreWJx0NjMyMWVhODAtZQxZS00MzZiLWFhODAtMjU4ZGQ4NWQ1Mjgy&hl=en_US
1) Discuss, refine, and ensure the accuracy of the information presented in the initial draft of this white paper, which was based on the group discussion of July 29th;

2) Examine the recent history of behavioral health models in New Mexico and determine which model components have worked and not worked, in an effort to clearly identify those aspects that should be carried forward and those that should not; and

3) Using the National Council for Community Behavioral Health Care’s “Four Quadrant Clinical Intervention Model,” as a guide, explore and define various possible practice and financial models that would best support the integration of physical and behavioral health services for all consumers and populations regardless of the acuity of their behavioral and physical health needs.

The Third Meeting

The Third meeting was held on August 18th. The morning started with three half-hour long presentations on the following topics:

- **Overview of the [First Edition] White paper: Findings and Considerations to Date**
  - Sam Howarth

- **Overview of Behavioral Health Models in New Mexico**
  - Rodney McNease and Karen Meador

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18 A copy of Four Quadrant Clinical Intervention Model can be found at: [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoOGNkJWQ1ZDkYzhNC00NTM3LWJhZmUtYmVlN2I2MmFmYmlk&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoOGNkJWQ1ZDkYzhNC00NTM3LWJhZmUtYmVlN2I2MmFmYmlk&hl=en_US).

19 The agenda for the third meeting can be viewed at: [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoYTdhMWVIYzMtYTezMC00NzIzLTg2ZGytNmM5YmoxNGU3NmIi&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoYTdhMWVIYzMtYTezMC00NzIzLTg2ZGytNmM5YmoxNGU3NmIi&hl=en_US).

20 Notes from the third meeting can be viewed at:

- Green group notes: [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoNzlc1NzlZmltNzdmcOC00Zm5LTihZmMtM2I4MjlhM2JhNTQ5&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoNzlc1NzlZmltNzdmcOC00Zm5LTihZmMtM2I4MjlhM2JhNTQ5&hl=en_US)

- Red Group notes: [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoNTQ5ZDU0Y2tN DhkNy00YjU2LTk1NDUzml5MjdjNjkNMmM1&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoNTQ5ZDU0Y2tN DhkNy00YjU2LTk1NDUzml5MjdjNjkNMmM1&hl=en_US)

- Yellow Group notes: [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoZmYzZGMxMjYtZ W1zMC00MDAzLjThmZjgtNTU1OTY2YjMzOTg3&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoZmYzZGMxMjYtZ W1zMC00MDAzLjThmZjgtNTU1OTY2YjMzOTg3&hl=en_US)

- Blue Group notes: [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoNhNjB1MGQtMmFkZi00YTA1LTI1YWUtNzMuY2ZlNGZlOWE3&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huookeWJxoNhNjB1MGQtMmFkZi00YTA1LTI1YWUtNzMuY2ZlNGZlOWE3&hl=en_US)

21 [https://docs.google.com/viewer?\a=v\&pid=explorer\&chrome=true\&srcid=0B5huookeWJxoMzM4ZDNIMzMtNDA4MS00NjYyLWEzZWItNzg0ZjBmYmRmZmzk&hl=en_US](https://docs.google.com/viewer?\a=v\&pid=explorer\&chrome=true\&srcid=0B5huookeWJxoMzM4ZDNIMzMtNDA4MS00NjYyLWEzZWItNzg0ZjBmYmRmZmzk&hl=en_US)
• **Overview of Possible Practice Models that Support Integration of Physical Health and Behavioral Health Services**
  - Steven Adelsheim

These presentations were designed to provide background information for the facilitated breakout sessions that would follow. After the presentations, the panel members convened in their four small groups to:

- Discuss and refine the findings from the first draft of the white paper
- Discuss New Mexico’s experience with different behavioral health models and determine which things to carry forward and which not to do again
- Discuss possible practice models and implications for a future behavioral health model

Related to this last discussion, and using the National Council for Community Behavioral Health Care’s “Four Quadrant Clinical Intervention Model,” as a guide, a number of sub-questions were considered:

- If one accepts the benefits of early detection and early intervention and the notion of prevention in behavioral health, where does funding come from for such programs? The primary care side? The behavioral health side? Partially from both? How would you track the money? Where would the cost savings go if/when you identify them?
- If you expect cost savings on the medical side when people with chronic medical conditions have fewer medical inpatient and emergency room visits due to effective treatment of depression, how do you link those funds back to behavioral health, especially in a carved out model?
- What is the role of the peer or family specialist in a more integrated model? How would they effectively link with care management in a primary care based setting?
- What do you see as the most critical components of a behavioral health medical home?

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22 [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huooreWJxoM2MwZTE0MTMtYmMzNS00NmMzMzIWE2MDAtNDAyZjhhMWRhYmFh&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huooreWJxoM2MwZTE0MTMtYmMzNS00NmMzMzIWE2MDAtNDAyZjhhMWRhYmFh&hl=en_US)
23 A copy of Four Quadrant Clinical Intervention Model can be found at: [https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huooreWJxoOGNkNWQ1ZDktYzdhMC00NTM3LjBhZmUtYmVlN2I1MmFmYmJk&hl=en_US](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huooreWJxoOGNkNWQ1ZDktYzdhMC00NTM3LjBhZmUtYmVlN2I1MmFmYmJk&hl=en_US).
It was anticipated that these discussions would provide additional information, ideas and understandings to help shape decisions about the choice of the behavioral health model, improvements to the governance structure, and how funding and accountability for behavioral health services would be protected and assured in an integrated system.

At the end of the day, the groups came back together and a representative from each of the groups presented on his/her respective group’s deliberations and findings.

**Findings and Considerations**

It is important to note that what follows are the findings of the authors of this white paper based on a review and analysis of meeting notes from the first, second and third meetings and includes corrections and additions to the first draft of The White Paper offered by Expert Panel members at the third meeting held on August 18th, 2011.

Three significant questions were asked at the second meeting of the Expert Panel:

1. Should behavioral health remain carved out, become carved in, or should a hybrid model be developed?
2. How should funding for behavioral health services be administered and/or tracked?
3. What governance structure(s) should be in place given your answers to questions 1 and 2 above?

The Expert Panel’s answers to these questions from that meeting, as well as further clarification developed at the third meeting, are described below:

**Regarding Model (carve in, carve out or hybrid)**

There was not consensus across the groups regarding question 1 (Should behavioral health remain carved out, become carved in, or should a hybrid model be developed?). Rather several themes emerged. All groups supported their respective conclusion(s) by indicating that their model(s) would: 1) **best protect behavioral health funding**, and that their model(s) would, or could, 2) **support improved integration of behavioral health and physical health services**.
Three groups supported a “carved in” or “hybrid” model that would have behavioral health services administered by physical health Managed Care Organizations but with separate accounting for behavioral health dollars and accountability for behavioral health services. Presumably, this management arrangement for behavioral health services would also rely on existing behavioral health providers, provider networks and organizations. Two groups supported a “carved out” model. (While there were only four groups, there were five positions as one group was split.)

There was a difference of opinion, too, on the number of organizations needed/desired to administer behavioral health. One group that supported services remaining carved out felt that there should be three regional organizations (one north, one central, and one south) to administer these services. Another group that was leaning toward a carved in model was split as to whether there should be statewide or regional organizations.

Lastly, there was a concern, (sometimes a strong concern) with for-profit entities administering behavioral health services, and a desire to have services administered by a local non-profit organization(s).

It is clear that the priority for all groups is improved and additional services for consumers and improved integration and coordination of all services between behavioral health and physical health. Without any evidence that one model will necessarily lend itself to these outcomes, it is not surprising that there was not consensus across the groups on a model.

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24 See Ingoglia presentations at: [link](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huooreWlxoNjAyM2ZlMTQtNGUxZi00MGRmLTlhJyNjYW5NzcyZDA5OWUx&hl=en_US) and [link](https://docs.google.com/viewer?a=v&pid=explorer&chrome=true&srcid=0B5huooreWlxoZjQxZGVlNGQtMTJyYWY1MTI5MDUtMWQyZDAyODQx&hl=en_US)
There also seemed to be agreement across the groups on the following components related to a possible model:

- Improvement in specific behavioral health outcomes for consumers and families is more critical than the specific model selected
- It is more important to determine the desired outcomes and then conduct an analysis to determine if carved in versus carved out (or hybrid), and a statewide model versus a regional model will best achieve these outcomes
- While it is not known if there was a full consensus on this item, there is a strong preference that any entity(ies) administering behavioral health services be not-for-profit.

Regarding essential aspects of any new model:

- Regardless of model, we still need to directly address and improve collaboration between physical and behavioral health (integration)
- There must be transparency and accountability for quality of care
- The model should support consumer-driven services
- Behavioral health services and funding must be protected while allowing flexibility and interface with physical health
- The behavioral health system must focus on wellness, prevention and early intervention
- There must flexibility within the behavioral health system itself
- Specific behavioral health needs must be prioritized within any given model and resourced accordingly
- There must be better data collection, and reporting, including the assurance of the state’s ability to maintain behavioral health data longitudinally.

A significant number of Panel Members articulated a desire for local administration of the model arguing that New Mexicans have developed enough expertise at this point to build and implement an effective behavioral health system, without needing to rely on outside (out-of-state) expertise. In the event that expertise in a particular domain might not exist in the state, these Panelists suggested that we should build our own expertise in these areas rather than outsourcing.
Regarding Funding

- In respect to question 2 (How should funding for behavioral health services be administered and/or tracked?), there appeared to be an overall consensus that behavioral health funding and accountability for this funding must be tracked separately and not co-mingled with funding for physical health and that any model implemented must maximize dollars to consumers (services) and minimize dollars for administration. In addition, there was a sense that, regardless of structure, dollars saved through efficiencies need to go back into the system to build additional services for consumers and families.

Other significant issues related to the question of how to track/administer funding that emerged from the groups, but without full consensus included:

- Need to incentivize integration, perhaps through pay-for-performance or case rates for behavioral health providers to communicate and collaborate with physical health providers (and vice versa)
- Need to incentivize care management, perhaps through per member per month payments
- Need to support services that promote coordination between emergency rooms and outpatient services
- Need to protect specific services including psycho-social, transportation, supportive housing and employment, respite, infant mental health, school mental health, and peer supports
- Need to incentivize services provided in rural/frontier areas, perhaps through sub-capitation and/or enhanced rates and/or the use of different, rural area-specific service definitions
- There is an interest in performance contracting
- There is an interest in money following the individual
- There is an interest in using capitation rather than fee-for-service
- Cost savings in any system could be earmarked for prevention and early intervention, perhaps funding community-based efforts that have been demonstrated to be effective
• Savings could happen at the plan level and then go back into the pool, thus providing more money for more behavioral health services in both primary care and behavioral health settings

• Could place behavioral health providers (perhaps peer specialists) in emergency rooms and jails to provide rapid intervention, referrals and linkages to other community behavioral health services. Provide increased care coordination and case management to those who are known to make frequent and expensive visits to ER and urgent care services

• Savings could go to demonstrated prevention and support programs, as well as community-based programs/services to decrease the number of consumers requiring higher end services

• May want to consider differentiated funding that specifically provides additional funding as needed to rural and frontier parts of the state, but with an appreciation for rate equalization efforts

**Regarding Governance**

There was no consensus across the groups regarding question 3 (What governance structure(s) should be in place given your answers to questions 1 and 2 above?). This is likely the case because all groups were interested in a governance structure(s) that would best support improved and additional behavioral health services and improved integration of behavioral health and physical health services. At present, there is no clear evidence that one governance structure will better ensure these outcomes than another. In spite of this, several themes emerged related to governance:

• Some concern was shared that the Behavioral Health Collaborative has too many voting state agency representatives. One recommendation was that possibly only those agencies and commissions with direct and significant financial responsibility and oversight of behavioral health services might have votes. This would presumably include agencies such as the Human Services Department, the Department of Health; Aging and Long Term Service Department; Children, Youth and Families Department; Public Education Department; Department of Indian Affairs, and the Corrections Department might have votes
• Interest was raised about possibly having consumers, families, providers and representatives from business and the legislature as part of the governance structure with voting authority
• There must be continued real support for local and regional governance, involvement and decision making
• Local governance entities must be financially supported (e.g., paid local coordinator/administration)
• Governance must be “transparent”, defined here as the ability to get information used to support or change policy or make significant decisions such that the rationale for changes are clearly understood
• Mission, roles, expectations, and relationships for all components of the governance structure (Collaborative, local entities, Planning Council, any others) must be clearly defined and delineated.
• The revised governance structure must more effectively allow for, structure, and respond to consumer input than the current model
• The Planning Council should have more power; its relationship to local entities should be strengthened and clarified; and the planning council should provide critical recommendations for the use of reinvestment funds
• There must be better technical assistance from the Collaborative to regional providers and regional administrations

Other Findings
Over the course of the meetings, a number of other, related, key areas emerged. Each of these areas should inform decisions related to the structure, funding, governance, and implementation of New Mexico’s behavioral health system.

Regarding Integration and Coordination
During the first and second meetings, there was uniform agreement among Panel Members that improved integration between behavioral health and physical health must occur. During the third meeting, the very idea of integration expanded beyond the connection between physical health and behavioral health to also recognize the need for links (integration) with the educational
system and schools, the Tribes and Tribal systems, corrections, the criminal and juvenile justice systems and programs such as the Jail Diversion Program.

It was suggested that this broader understanding of integration, and better coordination across these various systems and entities, would support a stronger continuum of care from prevention and wellness to recovery and resiliency. Additionally, it would support a system of care that is responsive across all ages, cultures, and geographic regions throughout New Mexico.

Regarding Regionalization and Cultural Sensitivity:
Expert Panel members are clear that an improved behavioral health system will need to do a better job of representing and responding to regional differences in the state. It was suggested by one group that “transitioning to the regional structure would allow for consideration of the cultural needs of the region [and] expand access [to services] beyond the community to [the] region.” Another group offered that the “language of carve in needs to require governance at the local community level (leadership, ownership and risk residing at the local level).”

Several of the groups pointed out that the current Local Collaborative structure is advisory and that this structure is not adequate; these groups suggest, as evidenced by the quote above, that an improved behavioral health system would have a more meaningful regional presence that would include, at a minimum, a role in governance and, at a maximum, function as “Regional Entities” (as opposed to a “Statewide Entity”).

Whatever regional authorities/governance structures would be created, Panel Members are clear that there needs to be distinct articulations and understandings of the roles and responsibilities of these structures, their make-up (who participates), and how they communicate with any Statewide Entity(ies) and statewide governance structure.

Across the groups there was recognition that a strong, regional presence is good for consumers and providers. The groups felt that a truly regional system would support more collaboration and better relationships between providers that, in turn, would support better services to consumers. The groups suggested that this was the strength of the Regional Care Coordination system
(RCCs) that was in place prior to the State-wide Entity. Panel Members identified positive aspects of the RCC structure that should be considered as we move forward. These positive aspects include:

- More local control and better community-based and community-responsive services
- Better understanding and use of non-Medicaid monies and services
- Better centralized support (from the Human Services Department)
- The ability to respond to local needs while not allowing for too much distinction from what was occurring in other regions
- Local control and responsibility, ownership and flexibility
- Better consumer involvement
- Expanded access within a region

Expert panel members also pointed to the need for strong quality improvement, monitoring and accountability systems.

The groups were uniform in their acknowledgement that the RCC system also had its failings/challenges and that these should not be brought forward. First among these is an inefficient, cumbersome, duplicative, and multi-layered administration. There was also agreement that there was difficulty getting services across regions and the groups clearly felt that any regional boundaries must be porous and allow consumers to receive services across regions and throughout the state.

Clearly, the groups believe, regardless of model, that there must be a regionalized structure and presence that brings forward the positive aspects described here. The groups recognize a significant difference between the RCC regional system and the current Local Collaborative system in this respect and are supportive of a regional system that has more than an advisory capacity; rather it should have a role in governance, oversight, monitoring and accountability.

There is also a clear recognition across the groups that an evolved behavioral health system must be more responsive to the cultural diversity in the state. One group offered that we must “include more emphasis on cultural considerations.” Amongst Panel Members there is an
awareness of the diversity within the state by region and by race/ethnicity and recognition that all behavioral health services must be culturally appropriate. Panel Members agree that:

- Any given model must recognize this diversity and be flexible enough to support culturally appropriate services for each region and specific population across the state
- There must be improved access for consumers regardless of where they are within the state, especially for Native Americans

Regarding Consumers and Family Members:
Panel Members were clear that consumers must be involved in every aspect of the behavioral health system including its design, implementation, governance and provision of services. One group offered, “Need to emphasize more strongly that consumers and providers need to be at the table together to help problem solve/address barriers and gaps with whatever entity is in charge.” Additionally, there was support for:
- More consumer, family, and provider involvement in policy development related to behavioral health
- Stronger mechanisms in place to ensure that consumers have the ability to provide meaningful input

Panel Members offered the following related to stigma and inclusion, things that must be considered and attended to as the behavioral health system and services in New Mexico evolve:
- Stigma has a huge impact on access to and advocacy for behavioral health services
- Ongoing efforts must continue to reduce stigma across all groups
- All involved in the behavioral health community must be careful about the language we use to insure that we don’t create an “us and them” - especially when speaking about consumer and family issues

Regarding Children and Youth, Early Intervention and Schools:
The expanded understanding of “integration” described above recognizes the importance of linking with other systems beyond behavioral and physical health. Some of the more significant systems that must also be integrated into an improved model must include those that serve children and youth. Panel Members articulated that:
• Our behavioral health system must prioritize our children, ensure age appropriate models, and ensure we don’t push children’s needs into an adult model
• There needs to be more coordination with the school system as a critical children’s mental health partner as we move forward; we must consider the importance of cuts in school funding and the impact on the behavioral health services for children in schools
• The education system needs to be consistently involved in these behavioral health restructuring discussions and the development of a new behavioral health model
• Behavioral health services must be integrated with the educational system at all ages across the lifespan
• There must be an expanded focus on services for children and youth
• Schools and primary care providers must play a much stronger role in prevention, wellness and the early identification of behavioral health needs and linking to and with providers. One group put it this way, “[School-based services] need to be the ‘backbone’ and a central place for launching integrated services.”
• Transition services for youth (ages 15-21 and 18 to 24) must be improved so children and youth move seamlessly to adult services
• Public schools and the Public Education Department must be more involved in screening and early identification, with quality services which prevent the misdiagnosis of children based on available funding

Regarding Native American Behavioral Health System Support
At the second and third meetings, Panel Members expressed a need to convene an additional group process to directly address the specific needs of Native Americans and the coordination of services between the Indian Health Service, tribal 638 programs, other tribal systems and behavioral and physical health providers who serve Native American populations.

There was agreement that, especially due to Native-American-specific provisions of the Patient Protection and Affordable Care Act, the voices of more Native Americans must be included in this process. It was suggested that the selection of a given model (carve in, carve out or a hybrid model) should be based on which model “will work best to serve [tribal systems]” and support the integration of these systems and behavioral health services. Another group offered, “rather
than [come to] consensus on a model, we agree that we choose the best model to achieve the best outcomes for tribal and rural communities and consumers.”

**Regarding Wellness, Prevention, and Early Intervention**
Panel Members demonstrated a firm commitment to wellness, prevention, and early intervention, indicating that there must be more attention to and support for these in an evolved behavioral health system. Panel Members offered:

- There is a need for the revised behavioral health system to exhibit more flexibility in the use of funding to better support expanded wellness, prevention, and early intervention activities
- There is a need for improved involvement of, and integration with, the public schools and primary care providers to allow for a stronger focus on wellness, prevention, and early intervention services
- Money must be identified for wellness, prevention, and early intervention services through both the behavioral health and physical health system(s)
- There is a call for more “creativity” in thinking about how we fund and support wellness and prevention that suggest using resources outside of the medical system and might include community-based initiatives, population-based efforts, reliance on the public schools and others

**Regarding Peer Support**
Panel Members felt that an improved behavioral health system will include the training, employment and use of peer specialists. Across the groups some of the key themes that emerged related to the role of the peer or family specialist in a more integrated model include the following:

- Peer and family specialists are critical to the system and we must increase their presence and roles
- Some suggested that peer and family support specialists should be more involved in consumer transition planning and services
- Peer support and family specialists should be reimbursed at reasonable rates
• It was suggested that we look at the community health worker model to see if there are lessons to be learned that could be extended to the peer and family support specialist model
• Focus must be on determining ways to better reimburse peer and family support specialists
• Peer and family support specialists could play a role in training primary care providers, including primary care case managers
• Peer and family support specialists could work in emergency rooms and jails and provide information, support and referrals

Regarding Workforce and Primary Care
Panel members recognize that if integration is to be improved, there must be a more robust health professional workforce and better communication and collaboration between all health providers. Panel Members recognize that there is a shortage of behavioral health providers in New Mexico (especially in rural and frontier parts of the state) and efforts must be made to increase the recruitment, retention and training of more providers (behavioral health providers, primary care providers and others).

Additionally, there is recognition that primary care providers need training to be able to identify behavioral health needs

Related to Medical/Behavioral Health Homes
Expert Panel Members offered the following related to medical/behavioral health homes:
• Medical home models would be helpful in providing screening and early intervention opportunities for behavioral health issues in public health and primary care settings, like the previous Screening, Brief Intervention and Referral to Treatment (SBIRT) model
• A behavioral health/medical home would provide the ability to support a system of care model while ensuring access to critical primary care services to consumers with high levels of both behavioral health and primary care needs
• This model would further integration by working to link across behavioral health and physical health systems
• One group suggested that there should be consistent administrative processes that support integration of behavioral health staff at primary care clinics
• It was recommended that there be more care coordination, case management, and peer support services to coordinate client care across systems

Regarding a Transition from the Current System to the Next System
Panel Members were clear that the transition to a new system must be well thought out and planned. For instance:

• There must be clearly written requests for proposals that articulate precise expectations of respondents
• There must be better oversight of any entity(ies) that is(are) administering behavioral health
• There must be very detailed contracts with very clear expectations and increased readiness reviews
• There must be the ability to readily and easily sanction for non-compliance with the contract(s)
Conclusions

Although there was not consensus about the structure or governance for behavioral health in New Mexico, the following themes emerged over the course of the entire Behavioral Health Expert Panel process:

- Improvement in specific behavioral health outcomes for consumers and families is more critical than the specific model selected
- Regardless of model, we still need to address and improve integration of physical and behavioral health
- There must be transparency and accountability throughout the system to improve quality of care, with access to and state ownership of behavioral health data over time
- Regardless of model, there needs to be some mechanism for meaningful local and regional input into all aspects of the system
- There must be more consumer, family, and provider involvement in policy development and decision making related to behavioral health
- Funding for behavioral health must be protected regardless of the model
- A greater percentage of dollars should be spent on services and a smaller percent on administration
- There is a strong preference that the next entity/entities that manage the behavioral health system be non-profit and possibly a New Mexico agency
- Regardless of structure, dollars saved through efficiencies need to go back into the behavioral health system to build innovative services for consumers The system must take into account the diversity of the state in terms of geography, race/ethnicity, and culture and be flexible enough to respond to this diversity
- There is a need for local/regional governance and administrative structures within any new model
- We must shape the future of behavioral health by using what we have learned from the past to inform our vision for the future
- The behavioral health system must maintain a focus on recovery and resiliency
- The behavioral health system must maintain a focus on wellness and prevention
- We must work to reduce stigma related to behavioral health across all groups
• While improved integration between behavioral and physical health is fundamental to any redesigned system, the definition of integration should be extended to recognize the necessary links with the educational system and schools; the Tribes and Tribal systems; and corrections, the criminal and juvenile justice system and programs such as Jail Diversion
• There must be more focus on children and youth and better integration with all of the systems that serve them
• There must be an expanded focus on prevention, early detection and early intervention for the full range of behavioral health conditions in both primary care and behavioral health settings
• More must be done to include Native Americans in this process and a behavioral health system must be better able to integrate with the tribes, the Indian Health Service, Bureau of Indian Affairs and other tribal systems to better serve Native Americans
• An expanded focus on development of the full continuum of the state’s behavioral health workforce must be undertaken, including expanding behavioral health training and support for primary care providers statewide
• There is a strong interest in strengthening peer and family support services
• There should be an examination of the current payment system to determine if a transition from fee-for-service to a capitated or per-member-per-month or other payment system would lead to better services and outcomes
• There must be much tighter contracting with any entity(ies) that is (are) overseeing behavioral health (and physical health) services. Expectations, requirements and consequences must be very clearly defined and readiness reviews must be more comprehensive. Agreements about management and ownership of behavioral health data must be clarified so that all data ultimately remains with the state system
• Attention must be paid to recruiting, retaining and training behavioral health (and physical health) professionals throughout New Mexico, especially in frontier and rural regions
• Billing and paperwork must be simplified and reduced
There must be a very thoughtful plan for any transition, one that takes into consideration the potential impacts of any changes (positive and negative) and the appropriate timing to ensure a smooth and successful process for consumers and providers.

**Next Steps**

This white paper will be sent out statewide.

Comments related to this White Paper can be sent to: bhept@cbhtr.org.

Please continue to check the Consortium for Behavioral Health Training and Research/Behavioral Health Expert Panel website for updates: [www.cbhtr.org\bhept](http://www.cbhtr.org\bhept).

As recommended by the Expert Panel, a meeting of the Native American Subcommittee of the Behavioral Health Planning Council will be convened to discuss this White Paper in order to gather further Native American-specific input.

The Human Services Department and the Behavioral Health Purchasing Collaborative will reconvene the Expert Panel again in October to discuss additional elements of the Request For Proposals development process and alignment of behavioral health with Medicaid modernization. As requested by the Expert Panel, individuals representing Native American communities, education and primary care will also be invited.
Appendices

A) Behavioral Health Task Force Members and Affiliations

- **Steven Adelsheim**, M.D., Interim Director, CBHTR; Director, Center for Rural and Community Behavioral Health, UNM Dept. of Psychiatry
- **Deborah Altschul**, Ph.D, Assistant Professor of Psychiatry, Consortium for Behavioral Health Training and Research at the University of New Mexico
- **Geri Cassidy**, Medical Assistance Division, New Mexico Humans Services Department
- **David J. Ley**, Ph.D, Co-Chair, New Mexico Youth Provider Alliance and Executive Director, New Mexico Solutions
- **Brent Earnest**, Deputy Secretary, NM Human Services Department
- **Sam Howarth**, Ph.D, Senior Policy Analyst, Robert Wood Johnson Foundation for Health Policy at the University of New Mexico.
- **Harrison Kinney**, Director, Behavioral Health Services Division, NM Human Services Department
- **Rodney McNease**, Executive Director, Behavioral Health Finance, University of New Mexico Hospitals and President, New Mexico Providers Association
- **Diana McWilliams**, Deputy CEO, New Mexico Behavioral Health Collaborative
- **Karen Meador**, Policy Director, NM Behavioral Health Collaborative
- **Cathy Rocke**, Medical Assistance Division, New Mexico Human Services Division
- **Linda Roebuck-Homer**, CEO, NM Behavioral Health Collaborative
- **Shereen Shantz**, Program Manager for Consumer Affairs, New Mexico Behavioral Health Collaborative
- **Craig Sparks**, New Mexico Children, Youth and Families Department
## B) Behavioral Health Expert Panel Members, Titles and Constituency Groups(s) each Represents (as reported by participants)

**Blue Group (12)**

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<thead>
<tr>
<th>Name</th>
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<tbody>
<tr>
<td>Kathy Bruaw-Sutherland</td>
<td>Inside Out</td>
<td>Individuals and family members affected by substance abuse, people with developmental disabilities, serious mental illness and DV-Post Traumatic Stress Syndrome</td>
</tr>
<tr>
<td>Roque Garcia</td>
<td>Southwest Counseling</td>
<td>Consumers and providers of behavioral health</td>
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<tr>
<td>Arturo Gonzales</td>
<td>Sangre de Cristo - CHP</td>
<td>Program integration of primary care and behavioral health; SBIRT</td>
</tr>
<tr>
<td>Adam Graff</td>
<td>Chief Fellow, Child &amp; Adolescent Psychiatry at UNM</td>
<td>Young/future Behavioral health providers, psychiatrists – general, adult, child and adolescents</td>
</tr>
<tr>
<td>Pamela Holland</td>
<td>Behavioral Health Planning Council, Co-Chair of the BHPC Adult &amp; Substance Abuse Subcommittee. I am a consumer and the President of the Interagency Forensic Network</td>
<td>Consumers, adults and people with co-occurring disorders; jail diversion program participants and people in the frontier and rural parts of New Mexico</td>
</tr>
<tr>
<td>Donald Hume</td>
<td>OCA, Recovery-Based Solutions</td>
<td>Consumers, family members, providers and advocates</td>
</tr>
<tr>
<td>Claire Leonard</td>
<td>Consumer – SMI, Catron County Grassroots Behavioral Health Group</td>
<td>Frontier consumers, children and youth</td>
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<tr>
<td>Beverly Nomberg</td>
<td>La Familia Inc.</td>
<td>Children and NMYPAT</td>
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<td>Craig Sparks</td>
<td>CYFD</td>
<td>State</td>
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<tr>
<td>Reuben Sutter</td>
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<tr>
<td>Cari Washburn Chavez</td>
<td>Five Sandoval Behavioral Health Services, consumer and family member</td>
<td>Consumers</td>
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<tr>
<td>Ana Whitmore</td>
<td>Co-Chair of LC1, Advocate, Family Member, Consumer</td>
<td>Families and consumers</td>
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### Red Group (9)

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<tbody>
<tr>
<td>Becky Beckett</td>
<td></td>
<td>Youth Alliance and Adult Provider Association; consumers in Bernalillo, Valencia and Eddy Counties</td>
</tr>
<tr>
<td>Noel Clark</td>
<td>Carlsbad MHC &amp; Partners in Wellness</td>
<td>Youth Alliance and Adult Provider Association; consumers in Bernalillo, Valencia and Eddy Counties</td>
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<tr>
<td>Cindy Collyer</td>
<td></td>
<td>Navajo and other Native American consumers</td>
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<tr>
<td>Ann Jennings</td>
<td></td>
<td>Navajo and other Native American consumers</td>
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<tr>
<td>Norman Joe</td>
<td>Consumer</td>
<td>Navajo and other Native American consumers</td>
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<tr>
<td>Harrison Kinney</td>
<td></td>
<td>Navajo and other Native American consumers</td>
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<tr>
<td>Nancy Koenigsburg</td>
<td></td>
<td>Navajo and other Native American consumers</td>
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<tr>
<td>Mark Simpson</td>
<td>BHPC-Executive Committee &amp; LC1, Board Member of La Familia Medical Center, Clubhouse Santa Fe, Oversight Committee</td>
<td>Consumers of mental health and physical health services</td>
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<tr>
<td>Dottie Tiger</td>
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<td>Consumers of mental health and physical health services</td>
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### Green Group (13)

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<tbody>
<tr>
<td>Bill Belzner</td>
<td>Director of Behavioral Health with Presbyterian Medical Services</td>
<td>Providers</td>
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<tr>
<td>Bette Betts</td>
<td>Family member</td>
<td>Families and Consumers</td>
</tr>
<tr>
<td>Deborah Clark</td>
<td>Peer</td>
<td>DBSA – Albuquerque, LC2</td>
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<tr>
<td>Mickey Curtis</td>
<td>Clinical Director of Families &amp; Youth Incorporated</td>
<td>NMYP (Alliance)</td>
</tr>
<tr>
<td>Dolores Donihi</td>
<td>Office of Consumer Affairs at the Human Services Department, Behavioral Services Division</td>
<td>Family members</td>
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<tr>
<td>Gordon Eagleheart</td>
<td>CADC, CSW, IPSS, consumer and service provider</td>
<td>Local Collaborative-2, consumers and counselors</td>
</tr>
<tr>
<td>Kayt Gutierrez</td>
<td>Executive Director, Hozho Center</td>
<td>Local Collaborative-11, consumers in rural and frontier parts of the state, adult consumers, BHSD</td>
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<tr>
<td>David Graeber</td>
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<td>State of New Mexico all</td>
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<tr>
<td>Diana McWilliams</td>
<td>Deputy CEO, Behavioral</td>
<td>State of New Mexico all</td>
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<tr>
<td>Nancy Jo Archer</td>
<td>CEO of Hogares Inc.</td>
<td>Alliance (youth providers) and CSA groups and advocates</td>
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<tr>
<td>Susan Casias</td>
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<tr>
<td>Vincent D'Aloia</td>
<td>Family member</td>
<td>DBSA – Albuquerque, Local Collaborative-2,</td>
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<tr>
<td>George Davis</td>
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<tr>
<td>Linda Mondy Diaz</td>
<td>Consumer and family member</td>
<td>Consumers and family members</td>
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<tr>
<td>Mike Estrada</td>
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<tr>
<td>Gail Falconer</td>
<td>Office of Consumer Affairs at the Human Services Department, Behavioral Services Division</td>
<td>Families and consumers</td>
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<tr>
<td>David Ley</td>
<td>New Mexico Solutions</td>
<td>Providers</td>
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<tr>
<td>Maggie McCowan</td>
<td>Director of Government and Legislative Relations, Mesilla Valley Hospital</td>
<td>New Mexico Hospital Association</td>
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<td>Rodney McNease</td>
<td>University of New Mexico Hospital</td>
<td>Providers</td>
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<tr>
<td>Lisa Sena</td>
<td>Local Collaborative-10, family member</td>
<td>Local Collaborative-10</td>
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<tr>
<td>Shela Silverman</td>
<td>Director of the Mental Health Association of NM, Consumer</td>
<td>Consumers</td>
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